

Acoustic estimation of seafloor parameters: a Radial Basis Functions approach

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Abstract. A novel approach to the estimation of seafloor geoacoustic parameters from the measurement of the acoustic field in the water column is introduced. The approach is based on the idea of approximating the inverse function that links the geoacoustic parameters with the measured field through a series expansion of Radial Basis Functions. In particular, Gaussian basis functions are used in order to ensure continuity and smoothness of the approximated inverse. The main advantage of the proposed approach relies on the fact that the series expansion can be computed off-line from simulated data, as soon as the experimental configuration is known. Data inversion can then be performed in true real time as soon as the data are acquired. Simulation results are presented in order to show the advantages and limitations of the method. Finally, some inversion results from horizontal towed array data are reported, and compared with independent estimates of geoacoustic bottom properties.

1 Introduction

Geoacoustic seafloor properties are an essential requisite to properly predict acoustic propagation, especially in shallow water waveguides and/or at low frequencies. However, their measurement or estimation with traditional techniques require the deployment of instrumentation on or within the seafloor (such as geophone stations, coring, cone penetrometers, etc.), resulting in costing and time-consuming procedures. For this reason, there has been a growing interest in recent years in methods able to identify geoacoustic models from the measurement of the acoustic field in the water column. This approach, that can be regarded as acoustic remote sensing of the seafloor, is characterized by two major aspects: one is experimental, and is concerned with the suitable design of sensors, sources and at-sea procedures to accurately measure the acoustic field structure. The other is computational, and consists in the determination of a stable inversion algorithm able to uniquely recover the geoacoustic parameters from the measured field. This paper is mainly concerned with the computational part of the estimation problem, *i.e.*, with the inversion strategy.

Realistic attempts at geoacoustic characterization from the acoustic field have been started by Frisk and co-workers [1], [2], [3], [4]. From the computational viewpoint, they mainly used a perturbative

inversion approach, *i.e.* a linearization of the inverse problem in the neighbourhood of an *a priori* known background geoacoustic model. If the background model is sufficiently close to the true model, powerful methods of linear inverse theory can be employed and the true solution can be found [3].

The background model can sometimes be established using historical data or independent measurements. However, when a confident background model cannot be established, the inverse problem is strongly nonlinear. In this more general case, the estimation of the geoacoustic parameters is usually stated as an optimization problem and global search strategies have to be employed. Collins and co-workers have successfully shown how the simulated annealing algorithm can be employed to estimate bottom parameters [6], [7]. Further applications of the simulated annealing search have been reported by Dosso, Chapman *et al.* [8], [9].

Another global search approach, the so-called genetic algorithms, has been more recently introduced in the underwater acoustic community by Gerstoft [10]. Applications of this inversion strategy to field data have also been reported in [11], [12].

Although fairly general, a global search approach may also show some drawbacks. In particular, it requires time consuming computations and it is more difficult to determine the reliability of the solution found. Efforts to increase the computational efficiency of global search algorithms are reported in recent studies, using eigenvalue/eigenvector analysis [14], adaptation of the search intervals [15], [16], combination of global and local algorithms [13]. Comparison of error estimates of linear inverse theory with those of genetic algorithms has been reported in [17], while the importance of Cramer-Rao bounds to assess the resolution/robustness of the inversion strategy and the eventual need of reparametrization of the search space has been emphasized in [18]. An ingenious attempt to reduce the nonlinearity of the problem by a suitable selection of the cost function to be minimized has been proposed by Rajan [5], at the price of a decreased resolution in the estimate.

In this paper we propose a novel approach that is based on the determination of an *approximated* inverse function from a set of known correspondences of geoacoustic parameters and acoustic fields. To fix the ideas, let us call \mathbf{m} the vector of geoacoustic parameters that we wish to identify, and let us call \mathbf{x} the vector of measured acoustic data (where the elements in \mathbf{x} can be complex or real). Let us also suppose that all the other parameters influencing acoustic propagation (water depth, sound speed in the water, source-receiver configuration, etc.) are known. Ideally, one would like to have available a closed form \mathbf{f} of the inverse function, such that:

$$\mathbf{f}(\mathbf{x}) = \mathbf{m} \tag{1}$$

Note incidentally that in the global search approach a class of possible inverse functions is specified as:

$$\mathbf{f}(\mathbf{x}) = \hat{\mathbf{m}} = \arg \left\{ \min_{\tilde{\mathbf{m}} \in M} E(\mathbf{x}, \hat{\mathbf{x}}(\tilde{\mathbf{m}})) \right\} \tag{2}$$

where E is a suitable cost function and $\hat{\mathbf{x}}(\tilde{\mathbf{m}})$ is the replica field associated with the choice $\tilde{\mathbf{m}}$ of geoacoustic parameters and computed with an appropriate forward model. Note also that \mathbf{f} does depend on the specific choices of E and of the model for the replica field computation.

Since \mathbf{f} is not known, we want to find an approximation $\hat{\mathbf{f}}$, where the approximating function has a pre-specified structure. For instance, $\hat{\mathbf{f}}$ may be a series of known basis functions with unknown coefficients. Let us call \mathbf{w} the vector of unknown coefficients of the approximating function. Then we have that $\hat{\mathbf{f}} = \hat{\mathbf{f}}(\mathbf{x}, \mathbf{w})$. The determination of $\hat{\mathbf{f}}(\mathbf{x}, \mathbf{w})$ is a parametric problem, while the determination

of the true inverse function \mathbf{f} is a functional problem (and, as such, much harder to solve). Let us also suppose that we have available a set of N vector pairs $\{\mathbf{x}_i, \mathbf{m}_i\}_{i=1}^N$ such that, for each pair, $\mathbf{f}(\mathbf{x}_i) = \mathbf{m}_i$, or, equivalently, $\hat{\mathbf{x}}(\mathbf{m}_i) = \mathbf{x}_i$. Then we can use the N pairs to identify the coefficients \mathbf{w} of the approximating function, for instance by imposing:

$$\mathbf{w} = \arg \left\{ \min_{\tilde{\mathbf{w}} \in W} \sum_{i=1}^N \|\hat{\mathbf{f}}(\mathbf{x}_i, \tilde{\mathbf{w}}) - \mathbf{m}_i\|^2 \right\} \quad (3)$$

where the norm is the usual Euclidean norm. The accuracy of the approximation $\hat{\mathbf{f}}$ will depend on many factors, such as the particular structure imposed, the set of known pairs, the ability of identifying the coefficient vector \mathbf{w} , *etc.* In the next section we will discuss these issues in detail.

In recent literature, approximation schemes that take the form of series expansion, eventually nested (*i.e.*, of the form $\hat{\mathbf{f}}(\mathbf{x}, \mathbf{w}) = \sum_j w_j \phi_j(\mathbf{x})$, or $\hat{\mathbf{f}}(\mathbf{x}, \mathbf{w}) = \sum_j w_j \phi_j(\sum_k w_k \phi_k(\cdot \cdot \cdot))$), are referred to as neural network, or learning network schemes, since they can be implemented in hardware in a network fashion. This usually leads to very efficient computations once the coefficients \mathbf{w} are determined.

The advantage of a network approximation scheme of the kind just described over global search or linearized inversion is that, by suitable selection of the basis functions, the approximation has validity over the whole domain of interest, hence the global solution will be found. Moreover, if several data sets are recorded with the same experimental configuration, the network coefficients do not need to be changed, and the same network can be used to invert the whole set of data (in contrast, a global search approach would require a new search for each new data vector).

The use of nested network schemes with sigmoid basis functions has been recently proposed for seismic inversion [19] and for acoustic tomography problems [20]. In this work we propose and illustrate the use of Radial Basis Functions (RBFs) of the Gaussian kind. The theoretical foundation of RBFs has been extensively described by Poggio and Girosi [21] and Powell [22]. The use of RBFs for the interpolation of sparse, scattered marine sediment data has been reported in [23]. An application of RBFs in the context of an elastic inverse problem has been described in [25], while in the context of geoacoustic parameter estimation some preliminary results on this line of work have been presented in [26].

The paper is organized as follows. In the next section the basic RBF approach to the approximation of the inverse function is described in more detail, the insertion of physical constraints is discussed and the acoustic data model is introduced. In section 3 inversion results on simulated data are reported, both in the wavenumber and in the pressure vs. range domain. In section 4 inversion results on field data are reported and compared with estimates of the geoacoustic parameters obtained with traditional methods. Finally, advantages and drawbacks of the method are discussed and conclusions are given.

2 RBF approximation of inverse functions

2.1 Basic theory

Let us suppose that we are given a known *forward* relation that links the model parameters \mathbf{m} to the measured data \mathbf{x} : $\mathcal{F}(\mathbf{m}) = \mathbf{x}$. Note that in our specific case the operator \mathcal{F} is given by the wave equation. We also assume that all the other environmental and geometric parameters that

define the acoustic propagation are known. Our goal is to determine an inverse function \mathbf{f} such that $\mathbf{f}(\mathcal{F}(\mathbf{m})) = \mathbf{m}$ for every \mathbf{m} belonging to the space of physically admissible geoacoustic models. As often happens in the case of inverse problems, the above requirement is not sufficient to uniquely determine \mathbf{f} , and additional constraints on the structure of \mathbf{f} have to be imposed. The common regularization approach to inverse problems [27] prescribes the inverse to be bounded and continuous, in order to guarantee also some robustness with respect to data perturbation. So the inverse function can be determined as the minimizer of the following cost *functional*:

$$J(\mathbf{f}) = \|\mathbf{f}(\mathcal{F}(\mathbf{m})) - \mathbf{m}\|^2 + \lambda H(\mathbf{f}) \quad (4)$$

where H is a smoothness constraining operator and λ a Lagrange multiplier. Note the similarity of the above equation with those usually appearing in linear inverse theory [24]. However, equation (4) is minimized by a function, and not by a vector, and its analytical solution is not known except for very few special cases. Let us now suppose we have available the *training set* of input-output pairs $\{\mathbf{x}_i, \mathbf{m}_i\}_{i=1}^N$, with $\mathcal{F}(\mathbf{m}_i) = \mathbf{x}_i$, $i = 1, \dots, N$. We may use this set to find an approximation $\hat{\mathbf{f}}$ of the inverse function \mathbf{f} with the obvious requirement that $\hat{\mathbf{f}}(\mathbf{x}_i)$ should be close to \mathbf{m}_i . So we impose:

$$\hat{\mathbf{f}} = \arg \left\{ \min_{\mathbf{f}} \left\{ \sum_{i=1}^N \|\mathbf{f}(\mathbf{x}_i) - \mathbf{m}_i\|^2 + \lambda H(\mathbf{f}) \right\} \right\} \quad (5)$$

Poggio and Girosi have shown, using variational arguments, that, if $H(\mathbf{f}) = \|\mathbf{R}\mathbf{f}\|^2$, where \mathbf{R} is a linear, rotationally and translationally invariant, operator, then $\hat{\mathbf{f}}$ takes on the following form:

$$\hat{\mathbf{f}}(\mathbf{x}) = C\Phi(\mathbf{x}_1, \dots, \mathbf{x}_N; \mathbf{x}) + \mathbf{k}_R(\mathbf{x}) \quad (6)$$

where: Φ is a N -dimensional vector, whose i -th component is given by the function $\phi(\|\mathbf{x}_i - \mathbf{x}\|)$, *i.e.* a Radial Basis Function; C is a $m \times N$ coefficient matrix, with elements c_{ij} , and m is the dimension of the vector \mathbf{m} ; \mathbf{k}_R is a m -dimensional vector function whose components span the null space of the operator \mathbf{R} . Moreover, the function ϕ is the Green's function of the self-adjoint operator $\mathbf{R}^*\mathbf{R}$ [21]. The expression of equation(6) can be shown to be the *best approximant* to the true inverse function given the knowledge of the training set [28].

A most useful property of RBFs theory is that, for several constraint operators, the analytic form of the Green's function ϕ is known. In particular, when $\mathbf{R} = \sum_{i=1}^{\infty} \partial^i / \partial \mathbf{x}^i$, we have Gaussian RBFs, *i.e.* $\phi(r) = \exp(-r^2/\sigma^2)$; in this case, also, the term \mathbf{k}_R can be ignored. Other cases are discussed in detail in [21].

From the point of view of the inversion, Gaussian RBFs are particularly appealing, because they automatically put the approximated inverse in a smoothness class that enforce the desired regularity properties. In the following of the paper we will always use Gaussian RBFs. It has to be clear, however, that this choice may be debatable: if one would like the inverse function to belong to a different smoothness class, a different choice of RBFs would be required. An example of such instance, although in a different context, may be found in [25], where it is shown how gaussian RBFs are able to recover the shape of rigid objects in contact with an elastic surface, except for the case of objects with discontinuous edges, where sigmoid-based networks give better results: in that case, the regularity of the gaussian basis functions is a drawback instead of an advantage.

As a last point, it is important to emphasize that the RBFs expansion is fully nonlinear, but, in contrast with other network schemes, is *linear in the coefficients*. This property allows for an easy identification of the matrix C . By imposing the relations:

$$\hat{\mathbf{f}}(\mathbf{x}_j) = C\Phi(\mathbf{x}_1, \dots, \mathbf{x}_N; \mathbf{x}_j) = \mathbf{m}_j \quad j = 1, \dots, N \quad (7)$$

one gets a system of linear equations in the unknown coefficients c_{ij} that can easily be solved with standard methods.

Note however that the linearity in the coefficients assumes that the RBFs centers and variances have been defined *a priori*. If one wants to determine the optimal position of the centers and the optimal variance, also the RBFs approximation becomes nonlinear in the parameters, posing non trivial computational problems. In the algorithm described in this paper, we have employed the linear-in-the-coefficient version of the algorithm: the centers have been randomly selected, and the variances have been tuned by trial and error, taking advantage of the fact that, at least for this specific problem, the approximation is not sensitive to the variance value but only to its order of magnitude.

2.2 The choice of the forward model

The development of the previous subsection is fairly general, and it can be applied to different data sets (broadband/narrowband sources, vertical/horizontal receivers, etc.). In order to generate the training set, however, a specific forward model \mathcal{F} has to be selected. This situation is identical to that encountered in the global search approaches, where one has to select a forward model and determine the best fit to the data *using that specific model*. It has to be clear that the inversion results strongly depend on the choice of the forward model, whatever specific algorithm (RBFs, global/local search, trial and error) is used. If the forward model is incorrectly chosen (if, for instance, it neglects scattering effects, and the source frequency is in the range of tens of KHz), the inversion results will certainly be dubious.

In the simulated and field data applications presented in the next section we have supposed that acoustic propagation takes place in a horizontally stratified range independent environment. The seafloor is treated as a viscoelastic stratified medium.

The SAFARI code [29] has been used for the computation of the forward problem. The deterministic sound pressure at the receiver location (r, z) , r being the range from the source and z the depth with respect to the sea surface, is given as the solution of the wave equation for a narrowband point source:

$$p(\omega, r, z, \mathbf{m}, \mathbf{s}) = \int_0^\infty g(\kappa, \omega, \mathbf{m}, \mathbf{s}) J_0(\kappa r) \kappa d\kappa \quad (8)$$

where ω is the source frequency, \mathbf{m} is the vector of geoacoustic parameters, \mathbf{s} is a known vector of all the quantities influencing the acoustic propagation (sound speed profile in the water column, source depth, etc.), κ is the horizontal wavenumber, and $g()$ is the Green's function of the depth separated wave equation. In testing the RBFs inversion we have used as acoustic data vector \mathbf{x} either one of the following:

$$\mathbf{x}_p = [| p(\omega, r_1, z, \mathbf{m}, \mathbf{s}) |, \dots, | p(\omega, r_q, z, \mathbf{m}, \mathbf{s}) |]^T \quad (9)$$

$$\mathbf{x}_g = [| g(\kappa_1, \omega, \mathbf{m}, \mathbf{s}) |, \dots, | g(\kappa_r, \omega, \mathbf{m}, \mathbf{s}) |]^T \quad (10)$$

i.e., the amplitude of the pressure field sampled at q locations in range, or the amplitude of the Green's function sampled at r points in the horizontal wavenumber space. The superscript T stands for vector transpose.

These two specific data vectors, and the SAFARI model itself, have been chosen because they perfectly suit the experimental configuration treated in section 4, that is, an horizontal array of receivers at a relatively short distance (less than 1000 m) from the source. The short source-receiver distance is emphasized, because it justifies the assumption of range-independent environment and also the fact that in our simulations, and in the field data inversion, noise is not an issue, being the SNR always particularly favourable. In the field experiment, for instance, SNR was estimated to be approximately 20 dB. Note that, if the noise level is much higher, it would be better to use a training set which is also corrupted by noise (see the discussion in [25]).

2.3 Selection of the geoacoustic parameters

In the sequel we assume that the seafloor can be discretized in l layers of *known* thickness. In general layer thickness is not known, however the environment can be discretized in layers of equal thickness z_ω each, selecting z_ω as the minimum thickness that can be resolved at the frequency ω . Also, the number l of layers can be selected by taking into account the maximum penetration z_{max} of the acoustic field into the bottom at the given frequency ω , and then choosing $l = z_{max}/z_\omega$. Note that theoretically the acoustic field prolongs to infinity; however, it is well known in practice that, for a given frequency, the measured acoustic field in the water is not sensitive to variation of the geoacoustic properties of the layers below the cut-off depth z_{max} .

One problem in fixing the thickness *a priori* is that the effective resolution and penetration of the acoustic field, depending on the wavelength, are not known, and must be fixed accordingly to some preliminary sensitivity study. However, as specified later, a sensitivity study is in any case required for a meaningful selection of the geoacoustic parameters to be estimated. One advantage of fixing the thickness is that, in some cases, this information is indeed known, and then can be easily incorporated in the inversion strategy.

The geoacoustic parameter vector \mathbf{m} with M elements is in general given by:

$$\mathbf{m} = [\mathbf{c}_p^T, \mathbf{c}_s^T, \boldsymbol{\alpha}_p^T, \boldsymbol{\alpha}_s^T, \boldsymbol{\rho}^T]^T \quad (11)$$

where \mathbf{c}_p and $\boldsymbol{\alpha}_p$ are the subvectors of compressional wave speed and attenuation, \mathbf{c}_s and $\boldsymbol{\alpha}_s$ are the subvectors of shear wave speed and attenuation, $\boldsymbol{\rho}$ is the subvector of density. Each subvector has in general l elements, and each element in position j refers to the corresponding parameter of the j -th layer.

It must be emphasized that not all of the above parameters have the same influence on the acoustic field. This reflects the physical fact that, in a given situation, not all the geoacoustic parameters need to be known accurately (or at all) to predict the acoustic propagation. For instance, depending on the source frequency, unconsolidated marine sediments may be treated as a fluid medium, and shear properties can be safely neglected [30].

In order to obtain a meaningful result out of the inversion (whatever strategy is used), a preliminary analysis of the problem is required, in order to select a parametrization of the seafloor environment that is significant with respect to the problem at hand. The discussion of this kind of sensitivity analysis is beyond the scope of the present paper. Examples can be found, for instance, in [18], [16],

[31]. In the examples of the following section it will be shown how the accuracy of the estimate is affected by the influence of the various parameters on the acoustic field.

2.4 Selection of the training set

The accuracy of the RBF approximation $\hat{\mathbf{f}}$ depends, in principle, only on the number of pairs in the training set. In particular, there are several convergence results that show that, under certain regularity assumptions on the function to be approximated, $\hat{\mathbf{f}} \rightarrow \mathbf{f}$ as $N \rightarrow \infty$ [22]. However, these results are mainly of theoretical interest. For the purpose of the present paper, there are two considerations that need to be taken into account. The first is that the pairs in the training set do not need to be taken with any particular ordering: RBFs are good interpolants of scattered data points in multidimensional spaces. This makes it possible to select the model vectors \mathbf{m}_i in the training set through random generation.

The second, more important, is that, through the training set, we can impose additional physical constraints on the approximated inverse. This can be achieved in several different ways and depending on the specific *a priori* knowledge, if any. In particular, one can bias the random generation of models by forcing some specific structure, like positive gradient of some of the parameters as a function of depth, or allowing only weak negative gradients, *etc.* It is up to the designer of the network to choose what sort of constraints, if any, are best suited for the specific problem he has at hand. Note in particular that, depending on the parametrization chosen, known correlations among the parameters can also be inserted at this stage.

In some of the simulations presented in the next section we have imposed the additional constraint of positive gradient of compressional and shear velocity with respect to depth. This was in fact the situation expected for the field test described in the last section. In the simulations it will also be shown how, for the cases considered, a training set consisting of 800 pairs is sufficient to achieve a certain degree of accuracy.

2.5 Test set: checking the accuracy of the approximation

Once the geoaoustic vectors \mathbf{m}_i of the training set have been generated, the corresponding acoustic field \mathbf{x}_i are calculated by a suitable forward model (SAFARI in our case). The training set thus obtained is used to identify the coefficients of the RBF network. In order to check the accuracy of the approximation, another set of pairs $\{\mathbf{x}_j, \mathbf{m}_j\}_{j=1}^K$, the *test set*, is generated accordingly to the same rules employed in the generation of the training set.

The computed acoustic field in the test set are given as input to the RBF network, and the corresponding models $\hat{\mathbf{m}}_j$ computed as:

$$\hat{\mathbf{m}}_j = \hat{\mathbf{f}}(\mathbf{w}, \mathbf{x}_j) \quad j = 1, \dots, K \quad (12)$$

The results of the RBF inversion are then compared to the true values \mathbf{m}_j . As a figure of merit, we have used, for each of the parameters in the vector \mathbf{m} , the mean relative error $|\hat{m}_j - m_j| / |m_j|$ averaged over the test set, and its variance. It is important to evaluate the accuracy in retrieving every single parameter, since not all the parameters may or need to be determined with the same precision.

In this phase, it is possible to determine the effect of varying some of the network parameters on the accuracy of the results. In particular, the variance of the gaussian RBFs can be tuned. In

our specific case, the gaussian variance has been tuned manually, that is, without any analytical or numerical attempt to determine the optimum variance value. However, we have noted the following properties:

- different variances need to be used depending on the specific subset of geoacoustic parameters considered; in particular, we have used the variance value of 10^3 for the P-velocities, 10^2 for the S-velocities, and 10^0 for P-attenuations.
- the inversion result is sensitive only to the order of magnitude of the variance value chosen.

The test set has to be generated over the whole parameter search space, since we wish to evaluate the RBF approximation over its global domain. We remark again that the figure of merit of the RBF inversion is the ensemble average over the errors of each single element in the test set. The mean error thus obtained can be considered as the lower bound of the mean estimation error when the RBF inversion is applied to real data, and the error variance a measure of stability of the result. In the examples reported, the size K of the test set has been fixed to 100.

2.6 Summary of the RBF inversion scheme

We give here a brief summary of the steps needed in the RBF inversion procedure.

1. Fix the experimental configuration, choose a data representation \mathbf{x} , a geoacoustic model vector \mathbf{m} , and a forward acoustic model \mathcal{F} such that $\mathcal{F}(\mathbf{m}) = \mathbf{x}$.
2. Generate the training set $\{\mathbf{x}_i = \mathcal{F}(\mathbf{m}_i), \mathbf{m}_i\}_{i=1}^N$, by randomly selecting the vectors \mathbf{m}_i in the search space of physically admissible parameters. If additional *a priori* knowledge is available, in terms of certain features of the expected solution, force each element \mathbf{m}_i to exhibit these features.
3. For each parameter m_k in the vector \mathbf{m} , identify the RBF coefficients c_{ki} by using the known relations: $(m_k)_j = \sum_{i=1}^N c_{ki} \exp(-\|\mathbf{x}_j - \mathbf{x}_i\|^2 / \sigma_k)$, $j = 1, \dots, N$. A system of N linear algebraic equations has to be solved for each geoacoustic parameter.
4. Generate a test set, and compute the mean relative error and variance of the RBF inverse solution on the test set. If not satisfied, go to step 2 and increase the number N of pairs in the training set.
5. Apply the RBF inversion to the data.

3 Simulated data inversion

Some results of the RBF approach have been presented in [26], [32]. Here we report two illustrative examples, one to show the decrease in the approximation error as the number of pairs in the training set is increased, the second to illustrate the network design employed on the field data in the following section. In both cases acoustic propagation at low frequency in a shallow water channel is considered.

In the example 1, the geoacoustic parameter vector \mathbf{m} is formed by the compressional velocities of a 5-layers seafloor, where each layer has 5m thickness. All the other parameters are assumed known and reported in table 1.

The data vector \mathbf{x} consists in the amplitude of the Green's function at the frequency of 100 Hz, sampled at 64 equally spaced points in the horizontal wavenumber space. The undersampling of the Green's function has been considered to resemble the approach of Collins *et al.* [7]. In the generation of the training and of the test set, the sound speed in every layer is allowed to vary between 1500 and 2000 m/s. Training sets consisting of 100, 200, 400 and 800 pairs respectively has been considered; the same test set of 100 pairs has been used in all the above examples.

In evaluating the inversion result, it is important to remember that we report, for each geoacoustic parameter, the mean error over the whole test set. For this reason, the usual representation of the results ("true" vs. "estimated") would not be feasible or even significative in this case.

In fig. 1 the mean approximation error over the test set is reported for each layer as a function of the number of pairs in the training set. It can be seen that, as expected, the mean error decreases at the increasing of the training set, and that, in the case of a training set of 800 pairs, the error for every layer is below 0.7 %. In looking at the result, one has to take into account that, by generating the solution at random (but with the constraint of positive gradient versus depth), the relative error is of the order of 10%.

We note incidentally that a number of training data of the order of magnitude of 10^3 is not critical either from the point of view of the network coefficient determination or from the required forward model runs. In particular, the generation of training set of 800 pairs always required less than two hours on our non-optimized implementation on an HP 735 workstation with multiple users.

Example 2 was part of a preliminary assessment of the technique for the application to the experimental data reported in the following section. This explains some of the similarities with the experimental situation. An horizontal towed array of 40 elements, at 4 meters spacing, is the receiving system, so the data vector \mathbf{x} consists in the amplitude of the pressure field at the receivers position. The transmitting source was transmitting a 100 Hz tone signal and the other relevant geometrical and environmental parameters are reported in table 2. The experimental situation for this example, as well as for the field data discussed in the next section, is reported in fig. 2.

The bottom has been discretized in three layers of 5m thickness each, and the geoacoustic model vector to be retrieved consisted in the compressional and shear velocities for the three layers, and the compressional wave attenuation of the first two layers, for a total of eight parameters. The search spaces for each parameter are reported in table 3.

A training set of 800 pairs has been generated, forcing a positive gradient vs. depth for both compressional and shear speed. No assumptions have been made for the attenuation. The results over a test set of 100 pairs, generated with the same assumptions used for the training set, are reported in table 4.

The results of this simulative test show that, at least in this case, the accuracy of the RBF inversion is physically consistent with the relative influence of each parameter on the acoustic propagation. In particular, one would expect the acoustic field to be most sensitive to the compressional velocity, to be fairly sensitive to any shear velocity of the order of magnitude of 400 m/s and above, and possibly to show some sensitivity to the compressional attenuation. By looking at the results in table 4, one can see that compressional velocities are all estimated with a mean error of less than 1 %; the shear velocity of the third layer, being on the average higher than those of the first two layers (remember that the shear velocity is forced to have a positive gradient w.r. to depth), is also better retrieved. As for compressional wave attenuation, the standard deviation values show that, in this case, the RBF approximation is not able to produce any meaningful result, confirming the expectation that the acoustic field is least sensitive to this parameter.

4 Field data inversion

We will now describe the results obtained with the RBF-based inversion on towed array data in a shallow water environment. This data set has been acquired during an experiment that took place in February-March 1995 in the Adventure Bank area of the Strait of Sicily, Mediterranean Sea. The experiment focused on at-sea testing of operational procedures for the estimation of geoacoustic parameters in shallow water with a moderate aperture towed array. In particular, a 40 hydrophone, 4m spaced, horizontal array has been employed, together with a flextensional sound source operated at low frequency in cw mode. Both source and receivers were towed from the same platform at 4 knots. Relative position of the source-receiving array geometry was monitored at regular intervals by acoustic means. The deformation of the towed array was constantly monitored in real-time by means of non-acoustic sensors. Ground truth information was obtained by independent measurements through gravity cores, geophone data and a shallow seismic survey, integrated with Hamilton's tabulation [34] and geological information on the area. The independent geoacoustic model thus obtained is reported in table 5, together with the relevant water column information. It is important to underline that the information on the last sediment layer has been derived through use of Hamilton regression equations, and not by direct measurements. The sediments in this area are generally described as sandy sediments rich in carbonate content.

A detailed description of the experiment, including the system set-up, will be described elsewhere. A cruise and data report can be found in [32]. For the purpose of the present paper, we are satisfied in reporting the results obtained inverting a subset of the whole data set that can be directly compared with the geoacoustic model of table 5. For this set of data, the acoustic source was transmitting at 110 Hz. As mentioned earlier, the SNR during the experiment has been estimated of the order of 20 dB.

The data set to be inverted consisted in fifteen "snapshots", each one consisting in the amplitude of the 110 Hz pressure field as received at the 40 hydrophones at different instants in time during the tow. Before the inversion, the data have been smoothed with a 4-points moving average. The smoothed data set is reported in fig. 3.

The fifteen snapshots have been acquired over a range of 600m, in an environmental situation that could be fairly expected as range-independent. However, as it can be seen, the data show some relevant variability from one snapshot to the other.

Using the information on the receivers position (both in depth and range), source depth (40m) and source - first receiver distance (535m), an RBF inversion network has been built, with the methodology described in section 2 and with the same discretization and training rules of the example 2 of section 3. Note that, although known, we have not inserted the knowledge of the true layer thickness, in order to have a sort of "blind" or semi-blind application of the method.

Each of the fifteen snapshot has been inverted by the same network. The results of the inversion for each snapshot have been averaged, and the average values, together with the standard deviation, are reported in table 6. These results can be directly compared with those of the independent Hamilton-based geoacoustic model. Moreover, the standard deviation gives an indication on the variability of the estimates. Note that this variability can be due both to the approximation inherent in the RBF approach and to the variability of the data themselves.

It can be noted that the results obtained are fairly close to that of the independent geoacoustic model, taking into account the differences in methodology. The compressional velocities estimated with the RBF inversion are higher than those of the Hamilton-based model, in particular in the

last layer. Note however that the RBF estimate is closer to what may be expected for a carbonate sand sediment, and that in the same area it has already been reported, at least for shear velocity, a discrepancy between Hamilton-based expectations and *in-situ* measured values [24].

The high values of the standard deviation for the shear velocity are an indication of a poor performance of the inversion scheme, or of a strong lateral variability of shear properties in the area (or both). It has to be taken into account that the data do display variability, and that perhaps even averaging the estimates on a relatively short path may lead to uncorrect conclusions.

For sediment classification purposes, it may be interesting to compare the estimated P-velocity vs. S-velocity ratio to those reported by Hamilton [33]. This has been done by considering the ratio of the mean estimates, and the maximum and minimum ratio compatible with the standard deviations reported. The results are reported in fig. 4, together with Hamilton's curves. Note that we estimate interval velocities for a three-layer model, where each layer has a thickness of 5m. Note also that again Hamilton's tabulation holds for fine sand, since he had insufficient data to examine soft, unlithified calcareous sediments ([33], p. 1096). For consolidated and/or lithified bottoms, Hamilton reports velocity ratios between 1.71 and 2.06, with only one exception at 2.66 ([33], table III). Looking at fig. 4, it is possible to see that our estimated ratios, even taking into account the relevant standard deviation in the shear velocity, allow for unambiguous classification of at least the second and third layer as unconsolidated sediment, slightly harder than water saturated fine sand. By combining this information with the compressional velocity estimates alone and the data in [34], the sediment can be classified as a low porosity coarse sand.

5 Discussion and conclusion

The RBF approach to geoacoustic inversion has been introduced, and proved feasible on simulated data. The first attempt to use this inversion technique on field data has given results that are in qualitative and, to a certain extent, also in quantitative agreement with independent estimates of the same quantities. It has also to be noted that we have not attempted any particular optimization of the RBF procedure tailored on the specific experimental situation. Our purpose here is to show the results of the technique in its standard formulation. We are currently exploring optimization procedures with the goal of not losing, or losing only in part, the generality and the simplicity of the method.

From the point of view of the computational efficiency, the inversion of the fifteen data sets required 900 runs of the forward model (as many as needed by the training *and* the test set), plus the solution of the linear algebraic systems for the coefficient identification. If one wants to compare this with the number of forward model iterations needed by a global search strategy, one has to consider that a new global search should be done for each of the fifteen snapshots in the data set. By using, for instance, the amount of forward model computations reported for typical runs of the genetic algorithms [13], one gets 10000 runs of forward models for a single search with the standard algorithm, and 1000 runs (still for a single search) with the hybrid version.

The saving in computational time may seem evident, however care should be taken in comparing the two methods only on the basis of the numerical efficiency. The RBF approach is well suited for all the applications in which the same experimental configuration is used to survey different areas, and in particular if the experimental set-up is known in advance. In this case, the network coefficient can be identified before the experiment, and the inversion can be performed in real time. Geophysical surveys

with a towed array, as the one of the experiment in section 4, are an example of such a situation.

However, one has to remember that, even in the best case, the RBF scheme is inherently an *approximation*. When accuracy in the result is a critical parameter, global search strategies should still be preferred.

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water depth (m)	140
source frequency (Hz)	100
source depth (m)	100
receiver depth (m)	100
sound speed in water (m/s)	1500
layer thickness (m)	5

Table 1: Geometric and environmental information for example 1. The data vector to be inverted is the amplitude of the undersampled Green's function. The geoacoustic parameters to be retrieved are the compressional wave velocities in five layers of equal thickness. The search interval is 1500-2000 m/s for each layer

water depth (m)	140
source frequency (Hz)	100
source depth (m)	100
receiver depth (m)	100
source-1st receiver distance (m)	200
sound speed in water (m/s)	1500
layer thickness (m)	5

Table 2: Geometric and environmental information for example 2. The data vector to be inverted is the amplitude of the pressure field on a 40 elements horizontal array. Elements spacing is fixed at 4m. The geoacoustic parameters to be retrieved are the compressional and shear wave velocity in each layer, plus the compressional wave attenuation in the first two layers

Layer no.	P velocity (m/s)	S velocity (m/s)	P attenuation (dB/ λ)
1	1500 - 1900	80 - 400	0.1 - 1
2	1500 - 2000	80 - 600	0.1 - 1
3	1600 - 3000	150 - 1500	-

Table 3: Parameter search space for example 2. The training set has been generated by randomly selecting the geoaoustic parameter values in the above interval, with the constraint of positive compressional and shear velocity gradients vs. depth

Layer no.	P velocity		S velocity		P attenuation	
	mean (%)	std. dev. (%)	mean (%)	std. dev. (%)	mean (%)	std. dev. (%)
1	0.4	0.3	4.0	6.5	24	86
2	0.7	0.8	7.2	12.8	22	37
3	0.8	1.6	3.3	4.1	-	-

Table 4: Mean relative error and standard deviation of the RBF inversion result over the test set for example 2

Depth m	P velocity m/s	S velocity m/s	Description
0 - 118	1508	0	<i>water column</i>
118 - 124	1550	230	<i>recent sediments - sand</i>
124 - 126	1585	275	<i>transition - sand</i>
126 - 136	1610	290	<i>quaternary sediments - sand</i>
≥ 136	1700	360	<i>quaternary sediments - sand</i>

Table 5: Geoacoustic model of the experiment site obtained through independent measurements and Hamilton's regression curves. Depth is assumed 0 at the water surface.

Depth m	P velocity		S velocity	
	mean (m/s)	std. dev. (m/s)	mean (m/s)	std. dev (m/s)
118-123	1576	30	184	142
123-128	1660	86	353	140
≥ 128	1850	73	373	154

Table 6: Result of the RBF inversion, averaged over the data set of fig 2

Figure 1: Example 1. Mean percentage error over the test set for each layer as a function of the number of pairs in the training set

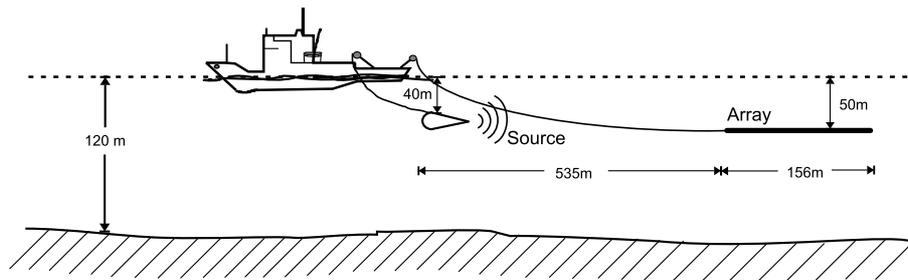


Figure 2: Experimental configuration for the simulated data of example 2 and for the field data of section 4.

Figure 3: Amplitude of the pressure field as received at the hydrophones for the fifteen snapshots.

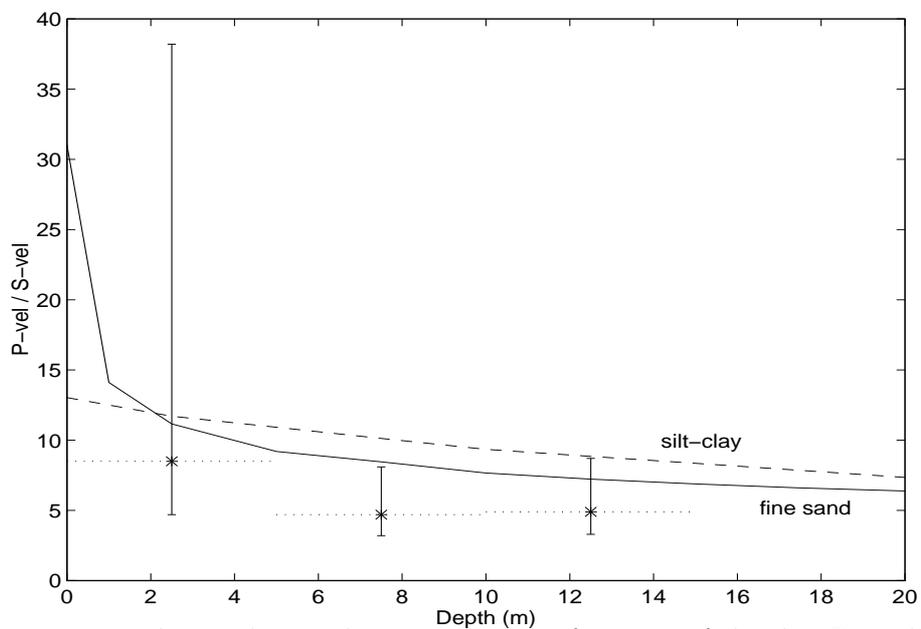


Figure 4: Compressional vs. shear velocity ratio as a function of depth. Dotted line with stars: inversion results, together with interval variation due to the uncertainty in the estimate. Continuous line: typical profile for fine sand (from ref. 34, table II). Dotted line: typical profile for silt-clay (from ref. 34, table I).